FORM PTO-1390 (REV 12-2004) ATTORNEY'S DOCKET NUMBER 4240-121 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/KR2004/000189 3 February 2004 4 February 2003 TITLE OF INVENTION <u>METHOD FOR PRODUCING A USEFUL COMPOUND AND TREATING A WASTEWATER.</u> APPLICANT(S) FOR DO/EO/US CHANG, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. X is attached hereto (required only if not communicated by the International Bureau), as published. has been communicated by the International Bureau. X is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. X have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Unsigned) An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19.

20.

Other items or information:

21. The following fees are submitted:    X   a) Basic national fee   \$300.00   \$300.00     X   b) Examination fee   \$200.00   \$200.00     X   c) Search fee   \$500.00   \$500.00     TOTAL OF ABOVE CALCULATIONS =   \$1000.00      Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.    Total Sheets   Extra Sheets   Number of each additional 50 or fraction thereof.     Total Sheets   Extra Sheets   Number of each additional 50 or fraction thereof (round up to a whole number)   x 250.00   \$0.00      Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).     CLAIMS   NUMBER FILED   NUMBER EXTRA   RATE   \$   Total claims   6 - 20 = 0   X   \$50.00   \$0.00     Independent claims   2 - 3 = 0   X   \$200.00   \$0.00     MULTIPLE DEPENDENT CLAIM(S) (if applicable)   + \$360.00   0     TOTAL OF ABOVE CALCULATIONS =   1000     X   Applicant claims anall entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.   \$UBTOTAL   = \$500.00     Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   \$0.00				
X   b) Examination fee   \$200.00   \$200.00   \$200.00   \$200.00   \$500.00   \$500.00   \$500.00   \$500.00   \$500.00   \$500.00   \$1000				
Seximination fee   \$200.00   \$200.00   \$200.00   \$500.00				
TOTAL OF ABOVE CALCULATIONS = \$1000.00 \$1000.00  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof.  Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE \$  Total claims 6 - 20 = 0 X \$50.00 \$0.00  Independent claims 2 - 3 = 0 X \$200.00 \$0.00  MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 0  TOTAL OF ABOVE CALCULATIONS = 1000  X Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.  SUBTOTAL = \$500.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				
TOTAL OF ABOVE CALCULATIONS = \$1000.00  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) x 250.00  Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE \$  Total claims 6 - 20 = 0				
(excluding sequence listing or computer listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.  Total Sheets				
thereof (round up to a whole number)  Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE  Total claims 6 - 20 = 0 X \$50.00 \$0.00  Independent claims 2 - 3 = 0 X \$200.00 \$0.00  MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 0  TOTAL OF ABOVE CALCULATIONS = 1000  X Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.  SUBTOTAL = \$500.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE \$  Total claims 6 - 20 = 0 X \$50.00 \$0.00    Independent claims 2 - 3 = 0 X \$200.00 \$0.00    MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 0    TOTAL OF ABOVE CALCULATIONS = 1000    X Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.  SUBTOTAL = \$500.00    Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				
from the earliest claimed priority date (37 CFR 1.492(e)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE  Total claims 6 - 20 = 0 X \$50.00 \$0.00  Independent claims 2 - 3 = 0 X \$200.00 \$0.00  MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 0  TOTAL OF ABOVE CALCULATIONS = 1000  X Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.  SUBTOTAL = \$500.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  \$ 0.00				
Total claims 6 - 20 = 0 X \$50.00 \$0.00  Independent claims 2 - 3 = 0 X \$200.00 \$0.00  MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 0  TOTAL OF ABOVE CALCULATIONS = 1000  X Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.  SUBTOTAL = \$500.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				
Independent claims  2 - 3 = 0 X \$200.00 \$0.00  MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 0  TOTAL OF ABOVE CALCULATIONS = 1000  X Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.  SUBTOTAL = \$500.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  \$ 0.00				
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 0  TOTAL OF ABOVE CALCULATIONS = 1000  X Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.  SUBTOTAL = \$500.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$ 0.00				
TOTAL OF ABOVE CALCULATIONS = 1000  X Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.  SUBTOTAL = \$500.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$ 0.00				
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.  SUBTOTAL = \$500.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  \$ 0.00				
above are reduced by ½.  SUBTOTAL = \$500.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  \$ 0.00				
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  \$ 0.00				
months from the earliest claimed priority date (37 CFR 1.492(f)). \$ 0.00				
TOTAL NATIONAL FEE = \$500.00				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				
TOTAL FEES ENCLOSED = \$500.00				
Amount to be: refunded \$				
charged \$				
a. A check in the amount of \$ to cover the above fees is enclosed.				
b. Please charge my Deposit Account No. <u>08-3284</u> in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. X The Commissioner is hereby authorized to charge any deficiencies in filing fæs which may be required, or credit any overpayment to Deposit Account No. <u>08-3284</u> . A duplicate copy of this sheet is enclosed.				
d. X Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form Provide credit card information and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.				
SEND ALL CORRESPONDENCE TO:				
Steven J. Hultquist				
INTELLECTUAL PROPERTY/ Steven J. Hultquist				
TECHNOLOGY LAW NAME P.O. BOX 14329				
P.O. BOX 14329				



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Patent Application of:		Docket No.:	4240-121
Applicant(s):	CHANG, et al.	) Examiner:	Not Yet Assigned
Application No.:	New U.S. National Stage Application of PCT International Application No. PCT/KR2004/000189	) Art Unit:	Not Yet Assigned
Int'l Filing Date:	3 February 2004	Customer No.:	
Priority Date:	2 April 2003 (Korean Patent Application No. 10-2003-0007045)	23	448
Title:	METHOD FOR PRODUCING A USEFUL COMPOUND AND TREATING A WASTEWATER USING PURE OXYGEN	) ) ) )	

## **EXPRESS MAIL CERTIFICATE**

I hereby certify that I am mailing the attached documents to the Commissioner for Patents on the date specified, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 and Express Mailed under the provisions of 37 CFR 1.10.

June 9, 2005

Date

EO 004 986 487 US

Express Mail Label Number

SUBMISSION UNDER 35 U.S.C. §371 OF UNITED STATES PATENT APPLICATION (NATIONAL PHASE PROCEEDINGS) BASED ON INTERNATIONAL APPLICATION NO. PCT/KR2004/000189 AND CLAIMING PRIORITY OF KOREAN PATENT APPLICATION NO. 10-2003-0007045

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing under the provisions of 37 CFR §1.53 and 35 U.S.C. §371 is the above-referenced patent application, based on International Patent Application No. PCT/KR2004/000189 and

claiming priority of Korean Patent Application No. 10-2003-0007045. A copy of the PCT International application as originally filed is included herewith. Further included is a Preliminary Amendment, return postcard, a credit card form in the amount of \$500.00 and 35 U.S.C. §371 transmittal forms.

Please direct correspondence relating to this application to Steven J. Hultquist, Intellectual Property Technology Law, P.O. Box 14329, Research Triangle Park, NC 27709, and direct telephonic communications relating to this application to Steven J. Hultquist at (919) 419-9350.

Respectfully submitted,

Steven J. Hultquist Registration No. 28,021 Attorney for Applicants

INTELLECTUAL PROPERTY/
TECHNOLOGY LAW
P.O. Box 14329
Research Triangle Park, NC 27709
Tel: (919) 419-9350

Fax: (919) 419-9354 Attorney Ref.: 4240-121